

PHA Plans

Five-Year Plan for Fiscal Years 2000 - 2004
Annual Plan for Fiscal Year 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: Binghamton Housing Authority

PHA Number: NY016

PHA Fiscal Year Beginning: (mm/yyyy) 07/2001

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices
- ☐ Main administrative office of the local government
- ☐ Main administrative office of the County government
- ☐ Main administrative office of the State government
- ☐ Public library
- ☐ PHA website
- ☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- ☒ Main business office of the PHA
- ☐ PHA development management offices
- ☐ Other (list below)

Annual PHA Plan
PHA Fiscal Year 2001
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

☒ **Standard Plan**

Streamlined Plan:

- ☐ **High Performing PHA**
- ☐ **Small Agency (<250 Public Housing Units)**
- ☐ **Administering Section 8 Only**

☐ **Troubled Agency Plan**

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

In accordance with the Final Rule, the Executive Summary is no longer required.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- ☒ Progress in meeting the Mission & Goals outlined in the Five-Year Plan
(Attachment A)

- ☐ Admissions Policy for Deconcentration (N/A – required for PHAs with covered developments having average incomes outside the Established Income Range see 24 CFR 903 Subpart A 903.2(c))
- ☒ FY 2001 Capital Fund Program Annual Statement (Attachment B)
- ☐ Most recent board-approved operating budget (N/A - required for PHAs designated troubled or at risk of being designated troubled)

Optional Attachments:

- ☒ FY 2000 Capital Fund Program Five-Year Action Plan (Attachment C)
- ☒ Public Housing Drug Elimination Program (PHDEP) Plan (Attachment D)
- ☒ Pet Policy (Attachment E)
- ☒ Comments of Resident Advisory Board or Boards and HA Responses (must be attached if not included in PHA Plan text) (Attachment F)
- ☒ Other (List below, providing each attachment name)
- Public Hearing and Stakeholder Comments and HA Responses (Attachment G)
- Strategies to Address the Impediments to Fair Housing (Attachment H)
- Attachment I – Performance and Evaluation report for Comprehensive Grant Programs.

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

| List of Supporting Documents Available for Review | | |
|--|---|---|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| ✓ | PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations | Five-Year and Annual Plans |
| ✓ | State/Local Government Certification of Consistency with the Consolidated Plan | Five-Year and Annual Plans |
| ✓ | Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement. | Five-Year and Annual Plans |
| ✓ | Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction | Annual Plan: Housing Needs |
| ✓ | Most recent board-approved operating budget for the public housing program | Annual Plan: Financial Resources; |
| ✓ | Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP] | Annual Plan: Eligibility, Selection, and Admissions Policies |
| ✓ | Section 8 Administrative Plan | Annual Plan: Eligibility, Selection, |

| List of Supporting Documents Available for Review | | |
|---|---|--|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| | | and Admissions Policies |
| ✓ | Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis | Annual Plan: Eligibility, Selection, and Admissions Policies |
| ✓ | Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Rent Determination |
| N/A | Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy BHA ceiling rents effective through 9/30/2002 24 CFR 960.253(d) | Annual Plan: Rent Determination |
| ✓ | Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan | Annual Plan: Rent Determination |
| ✓ | Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation) | Annual Plan: Operations and Maintenance |
| ✓ | Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Grievance Procedures |
| ✓ | Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan | Annual Plan: Grievance Procedures |
| ✓ | The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year | Annual Plan: Capital Needs |
| N/A | Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant | Annual Plan: Capital Needs |
| ✓ | Most recent, approved Five-Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option) | Annual Plan: Capital Needs |
| N/A | Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing | Annual Plan: Capital Needs |
| N/A | Approved or submitted applications for demolition and/or disposition of public housing | Annual Plan: Demolition and Disposition |
| N/A | Approved or submitted applications for designation of public housing (Designated Housing Plans) | Annual Plan: Designation of Public Housing |
| N/A | Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act | Annual Plan: Conversion of Public Housing |
| N/A | Approved or submitted public housing homeownership programs/plans | Annual Plan: |

| List of Supporting Documents Available for Review | | |
|--|--|---|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| | | Homeownership |
| N/A | Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan | Annual Plan: Homeownership |
| ✓ | Any cooperative agreement between the PHA and the TANF agency | Annual Plan: Community Service & Self-Sufficiency |
| N/A | FSS Action Plan/s for public housing and/or Section 8 | Annual Plan: Community Service & Self-Sufficiency |
| ✓ | Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports | Annual Plan: Community Service & Self-Sufficiency |
| ✓ | The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan) | Annual Plan: Safety and Crime Prevention |
| ✓ | The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings | Annual Plan: Annual Audit |
| N/A | Troubled PHAs: MOA/Recovery Plan | Troubled PHAs |
| N/A | Other supporting documents (optional) (list individually; use as many lines as necessary) | (specify as needed) |

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

| Housing Needs of Families in the Jurisdiction by Family Type | | | | | | | |
|---|---------|--------------------|--------|---------|--------------------|------|----------|
| Family Type | Overall | Afford- ability | Supply | Quality | Acces- sibility | Size | Location |
| Income <= 30% of AMI | 4,587 | 4 | 4 | 4 | 3 | 3 | 2 |
| Income >30% but <=50% of AMI | 3,653 | 4 | 4 | 4 | 3 | 2 | 2 |
| Income >50% but <80% of AMI | 4,381 | 3 | 3 | 3 | 3 | 2 | 2 |
| Elderly | 2,502 | 3 | 3 | 3 | 3 | 2 | 2 |
| Families with Disabilities | N/A | 3 | 4 | 3 | 4 | 2 | 3 |
| White | 11,602 | 3 | 3 | 3 | 3 | 3 | 2 |
| Black | 542 | 4 | 3 | 4 | 3 | 3 | 2 |
| Other | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Hispanic | 153 | 4 | 3 | 4 | 3 | 3 | 2 |
| NonHispanic | 12,144 | 3 | 3 | 3 | 3 | 3 | 2 |

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- ☒ Consolidated Plan of the Jurisdiction/s
Indicate year: 2000
- ☒ U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS")
dataset, (1990 data used)
- ☐ American Housing Survey data
Indicate year:
- ☐ Other housing market study
Indicate year:
- ☐ Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

| Housing Needs of Families on the Waiting List | | | |
|--|---|---------------------|-----------------|
| Waiting list type: (select one) | | | |
| <input type="checkbox"/> | Section 8 tenant-based assistance | | |
| <input checked="" type="checkbox"/> | Public Housing | | |
| <input type="checkbox"/> | Combined Section 8 and Public Housing | | |
| <input type="checkbox"/> | Public Housing Site-Based or sub-jurisdictional waiting list (optional) | | |
| If used, identify which development/subjurisdiction: | | | |
| | # of families | % of total families | Annual Turnover |
| Waiting list total | 173 | | 151 |
| Extremely low income <=30% AMI | 141 | 82% | |
| Very low income (>30% but <=50% AMI) | 26 | 15% | |
| Low income (>50% but <80% AMI) | 6 | 3% | |
| Families with children | 121 | 70% | |
| Elderly families | 18 | 10% | |
| Families with Disabilities | 34 | 20% | |
| White | 97 | 56% | |
| Black | 73 | 43% | |
| Asian | 2 | 1% | |
| American Indian | 0 | 0% | |
| Hispanic | 12 | 7% | |
| NonHispanic | 161 | 93% | |
| Characteristics by Bedroom Size (Public Housing Only) | | | |
| 0 BR | 8 | 14% | |
| 1BR | 5 | 25% | |
| 2 BR | 13 | 25% | |
| 3 BR | 11 | 22% | |
| 4 BR | 3 | 12% | |
| 5 BR | 0 | 2% | |
| 5+ BR | 0 | 0 | |

Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)? ☒ No ☐ Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- ☒ Employ effective maintenance and management policies to minimize the number of public housing units off-line
- ☒ Reduce turnover time for vacated public housing units
- ☐ Reduce time to renovate public housing units
- ☐ Seek replacement of public housing units lost to the inventory through mixed finance development
- ☐ Seek replacement of public housing units lost to the inventory through Section 8 replacement housing resources
- ☒ Maintain or increase Section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- ☒ Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- ☒ Maintain or increase Section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- ☒ Maintain or increase Section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- ☒ Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- ☐ Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- ☒ Apply for additional Section 8 units should they become available
- ☒ Leverage affordable housing resources in the community through the creation of mixed - finance housing

- ☐ Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- ☐ Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- ☒ Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing (i.e., 40% at or below 30%)
- ☐ Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based Section 8 assistance
- ☐ Employ admissions preferences aimed at families with economic hardships
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- ☒ Employ admissions preferences aimed at families who are working
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- ☒ Seek designation of public housing for the elderly
- ☒ Apply for special-purpose vouchers targeted to the elderly, should they become available
- ☐ Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- ☐ Seek designation of public housing for families with disabilities
- ☐ Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- ☒ Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- ☒ Affirmatively market to local non-profit agencies that assist families with disabilities
- ☐ Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- ☒ Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- ☐ Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- ☒ Counsel Section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- ☒ Market the Section 8 program to owners outside of areas of poverty /minority concentrations
- ☐ Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- ☒ Funding constraints
- ☒ Staffing constraints
- ☐ Limited availability of sites for assisted housing
- ☐ Extent to which particular housing needs are met by other organizations in the community
- ☒ Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- ☒ Influence of the housing market on PHA programs
- ☒ Community priorities regarding housing assistance
- ☒ Results of consultation with local or state government
- ☒ Results of consultation with residents and the Resident Advisory Board
- ☒ Results of consultation with advocacy groups
- ☐ Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

| Financial Resources: Planned Sources and Uses | | |
|---|-------------------------------------|---------------------|
| Sources | Planned \$ | Planned Uses |
| 1. Federal Grants (FY 2000 grants) | | |
| a) Public Housing Operating Fund (Subsidy) | \$ 1,496,650 | * |
| b) Public Housing Capital Fund | \$ 1,170,380 | |
| c) HOPE VI Revitalization | N/A | |
| d) HOPE VI Demolition | N/A | |
| e) Annual Contributions for Section 8 Tenant-Based Assistance | (ACC effective 11/00) \$ 231,933 | |
| f) Public Housing Drug Elimination Program (including any Technical Assistance funds) | \$ 157,488 | |
| g) Resident Opportunity and Self-Sufficiency Grants | N/A | |
| h) Community Development Block Grant | | |
| i) HOME | N/A | |
| Other Federal Grants (list below) | | |
| CDBG | | |
| 2. Prior Year Federal Grants (unobligated funds only) (list below) | | |
| EDSS | \$ 110,000 | |
| 3. Public Housing Dwelling Rental Income | | |
| Tenant Rent | \$ 1,600,000 | Operating costs |
| | | |
| 4. Other income (list below) | | |
| Interest General Fund Account | \$ 48,000 | Operating costs |
| Laundry income | \$ 20,000 | Operating costs |
| 4. Non-federal sources (list below) | | |
| N/A | | |
| | | |
| Total resources | \$4,837,151 | |
| | | |

*Based on FY 2000 actual because FY 2001 subsidy factors not released from HUD as of 4/15/01.

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- ☐ When families are within a certain number of being offered a unit: (state number) top 25 on waiting list
- ☐ When families are within a certain time of being offered a unit: (state time)
- ☒ Other: (describe) At the time of application and information is updated if necessary at admission.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- ☒ Criminal or Drug-related activity
- ☒ Rental history
- ☒ Housekeeping
- ☒ Other (describe) Personal interview and credit check

c. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. ☐ Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)?

- ☒ Community-wide list
- ☐ Sub-jurisdictional lists
- ☐ Site-based waiting lists
- ☐ Other (describe)

b. Where may interested persons apply for admission to public housing?

- ☒ PHA main administrative office
- ☐ PHA development site management office
- ☐ Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) Assignment

1. How many site-based waiting lists will the PHA operate in the coming year?

2. ☐ Yes ☐ No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. ☐ Yes ☐ No: May families be on more than one list simultaneously
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- ☐ PHA main administrative office
- ☐ All PHA development management offices
- ☐ Management offices at developments with site-based waiting lists
- ☐ At the development to which they would like to apply

☐ Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- ☐ One
☒ Two
☐ Three or More

b. ☒ Yes ☐ No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

☒ Yes ☐ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- ☒ Emergencies
☐ Overhoused
☐ Underhoused
☒ Medical justification
☒ Administrative reasons determined by the PHA (e.g., to permit modernization work)
☐ Resident choice: (state circumstances below)
☐ Other: (list below)

c. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection (5) Occupancy)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- ☒ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
☒ Victims of domestic violence
☒ Substandard housing
☒ Homelessness

☒ High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☒ Residents who live and/or work in the jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

3 Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- 1 High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in the jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- 2 Other preference(s) (list below) Live and work in Binghamton

4. Relationship of preferences to income targeting requirements:

- ☐ The PHA applies preferences within income tiers
- ☒ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- ☒ The PHA-resident lease
☐ The PHA's Admissions and (Continued) Occupancy policy
☒ PHA briefing seminars or written materials (Tenant Handbook)
☒ Other source (list) Lead based paint and safety brochures

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- ☐ At an annual reexamination and lease renewal
☒ Any time family composition changes
☐ At family request for revision
☐ Other (list)

(6) Deconcentration and Income Mixing

a. ☒ Yes ☐ No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b. ☐ Yes ☒ No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

Analysis results:

| | | |
|--------------------------------------|----------|------|
| Covered Developments average incomes | \$12,333 | 100% |
| Carlisle | \$12,375 | 101% |
| Saratoga | \$12,311 | 99% |

Source: BHA Rent Roll 4/01

If yes, list these developments as follows:

| Deconcentration Policy for Covered Developments | | | |
|---|-----------------|---|--|
| Development Name: | Number of Units | Explanation (if any) [see step 4 at §903.2(c)(1)(iv)] | Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)] |
| | | | |
| | | | |
| | | | |
| | | | |

B. Section 8

Exemptions: PHAs that do not administer Section 8 are not required to complete sub-component 3B.
Unless otherwise specified, all questions in this section apply only to the tenant-based Section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

Note:

[BHA only administers a Special Purpose Program at this time]

(1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- ☐ Criminal or drug-related activity only to the extent required by law or regulation
- ☒ Criminal and drug-related activity, more extensively than required by law or regulation
- ☐ More general screening than criminal and drug-related activity (list factors below)
- ☐ Other (list below)
- b. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. ☐ Yes ☐ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- ☐ Criminal or drug-related activity
- ☒ Other (describe below) Past landlord contact information

(2) Waiting List Organization

- a. With which of the following program waiting lists is the Section 8 tenant-based assistance waiting list merged? (select all that apply)
- ☒ None
- ☐ Federal public housing
- ☐ Federal moderate rehabilitation
- ☐ Federal project-based certificate program
- ☐ Other federal or local program (list below)
- b. Where may interested persons apply for admission to Section 8 tenant-based assistance? (select all that apply)
- ☒ PHA main administrative office
- ☐ Other (list below)

(3) Search Time

- a. ☒ Yes ☐ No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

If there is documented trouble locating housing

(4) Admissions Preferences

- a. Income targeting

- ☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the Section 8 program to families at or below 30% of median area income?

- b. Preferences

1. ☐ Yes ☒ No: Has the PHA established preferences for admission to Section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent (5) Special purpose Section 8 assistance programs)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
☐ Victims of domestic violence
☐ Substandard housing
☐ Homelessness
☐ High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
☐ Veterans and veterans' families
☐ Residents who live and/or work in your jurisdiction
☐ Those enrolled currently in educational, training, or upward mobility programs
☐ Households that contribute to meeting income goals (broad range of incomes)
☐ Households that contribute to meeting income requirements (targeting)
☐ Those previously enrolled in educational, training, or upward mobility programs
☐ Victims of reprisals or hate crimes
☒ Other preference(s) (list below)

Those that have lost or are in imminent danger of losing child(ren) because of reasons stated within the Family Unification Program.

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Date and Time

Former Federal preferences

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)

Victims of domestic violence

Substandard housing

Homelessness

High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans’ families
- ☐ Residents who live and/or work in your jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- 1 ☐ Other preference(s) (list below)
Those that have lost or are in imminent danger of losing child(ren) because of reasons stated within the Family Unification Program

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- ☒ Date and time of application
- ☐ Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- ☐ This preference has previously been reviewed and approved by HUD
- ☐ The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- ☐ The PHA applies preferences within income tiers
- ☒ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

- a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose Section 8 program administered by the PHA contained? (select all that apply)

- ☒ The Section 8 Administrative Plan
☒ Briefing sessions and written materials
☒ Other (list below) Application and eligibility certifications

- b. How does the PHA announce the availability of any special-purpose Section 8 programs to the public?

- ☐ Through published notices
☒ Other (list below) Direct outreach to supportive services organizations

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

- a. Use of discretionary policies: (select one)

- ☒ The PHA will not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- ☐ The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)

- b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- ☐ \$0
☐ \$1-\$25
☒ \$26-\$50

2. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. ☐ Yes ☒ No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

☐ For the earned income of a previously unemployed household member

☐ For increases in earned income

☐ Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

☐ Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

☐ For household heads

☐ For other family members

☐ For transportation expenses

☐ For the non-reimbursed medical expenses of non-disabled or non-elderly families

☐ Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

☒ Yes for all developments

☐ Yes but only for some developments

☐ No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

☒ For all developments

☐ For all general occupancy developments (not elderly or disabled or elderly only)

☐ For specified general occupancy developments

☐ For certain parts of developments; e.g., the high-rise portion

☐ For certain size units; e.g., larger bedroom sizes

☐ Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

☐ Market comparability study

- ☒ Fair market rents (FMR)
- ☐ 95th percentile rents
- ☐ 75 percent of operating costs
- ☐ 100 percent of operating costs for general occupancy (family) developments
- ☐ Operating costs plus debt service
- ☐ The "rental value" of the unit
- ☐ Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)
- ☐ Never
 - ☐ At family option
 - ☒ Any time the family experiences an income increase
 - ☐ Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
 - ☒ Other (list below) If tenant has increase or decrease of income of \$5 or more per month

- g. ☐ Yes ☒ No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

**PHA will establish flat rents when ceiling rents expire on September 30, 2002
see (24CRF 960.253(d))**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)
- ☐ The Section 8 rent reasonableness study of comparable housing
 - ☐ Survey of rents listed in local newspaper
 - ☐ Survey of similar unassisted units in the neighborhood
 - ☒ Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based Section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

- a. What is the PHA's payment standard? (select the category that best describes your standard)

- ☐ At or above 90% but below 100% of FMR
- ☒ 100% of FMR
- ☐ Above 100% but at or below 110% of FMR
- ☐ Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- ☐ FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ The PHA has chosen to serve additional families by lowering the payment standard
- ☐ Reflects market or submarket
- ☐ Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- ☐ FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ Reflects market or submarket
- ☐ To increase housing options for families
- ☐ Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- ☒ Annually
- ☐ Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- ☒ Success rates of assisted families
- ☒ Rent burdens of assisted families
- ☒ Other (list below)
- Rental Market Rates

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- ☐ \$0
- ☐ \$1-\$25
- ☒ \$26-\$50

b. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

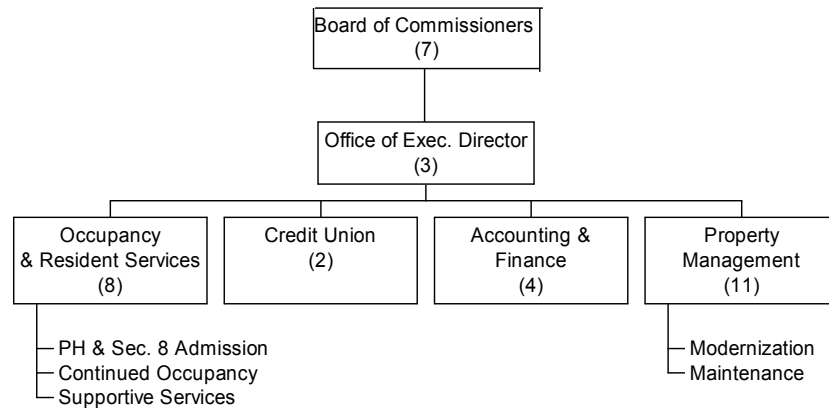
A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- ☐ An organization chart showing the PHA's management structure and organization is attached.
- ☒ A brief description of the management structure and organization of the PHA follows:

BHA Organizational & Staffing Chart



B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

| Program Name | Units or Families Served at Year Beginning | Expected Turnover |
|---|--|-------------------|
| Public Housing | 641 | 36 |
| Section 8 Vouchers | 50 | 10 |
| Program Name | Units or Families Served at Year Beginning | Expected Turnover |
| Section 8 Certificates | 0 | |
| Section 8 Mod Rehab | 0 | |
| Public Housing Drug Elimination Program (PHDEP) | 641 | 36 |
| Other Federal Programs (list individually) | | |
| EDSS | 25 | 12 |

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (list below)
- Preventative maintenance manual, tenant handbook, admissions and occupancy policy

- (2) Section 8 Management: (list below)
Section 8 Administrative Plan

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

B.

1. ☐ Yes ☒ No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- ☒ PHA main administrative office
☐ PHA development management offices
☐ Other (list below)

B. Section 8 Tenant-Based Assistance

1. ☐ Yes ☒ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- ☒ PHA main administrative office
☐ Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

☒ The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

☐ The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional Five-Year Action Plan

Agencies are encouraged to include a Five-Year Action Plan covering capital work items. This statement can be completed by using the Five-Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. ☒ Yes ☐ No: Is the PHA providing an optional Five-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

☒ The Capital Fund Program Five-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

☐ The Capital Fund Program Five-Year Action Plan is provided below: (if selected, copy the CFP optional Five-Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- ☐ Yes ☒ No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)
 - ☐ Revitalization Plan under development
 - ☐ Revitalization Plan submitted, pending approval
 - ☐ Revitalization Plan approved
 - ☐ Activities pursuant to an approved Revitalization Plan underway

- ☐ Yes ☒ No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

- ☐ Yes ☒ No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

- ☐ Yes ☒ No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

| Demolition/Disposition Activity Description | |
|---|--|
| 1a. Development name: | |
| 1b. Development (project) number: | |
| 2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/> | |
| 3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/> | |
| 4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u> | |
| 5. Number of units affected: | |
| 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development | |
| 7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity: | |

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. ☒ Yes ☐ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

- ☐ Yes ☒ No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

| Designation of Public Housing Activity Description |
|---|
| 1a. Development name: North Shore |
| 1b. Development (project) number: NY 16-02 |
| 2. Designation type: Occupancy by only the elderly <input checked="" type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/> |
| 3. Application status (select one) Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/> |
| 4. Date this designation approved, submitted, or planned for submission: (01/12/01) |
| 5. If approved, will this designation constitute a (select one) <input checked="" type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously approved Designation Plan? |
| 6. Number of units affected: 172 |
| 7. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development |

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. ☐ Yes ☒ No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset

Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

| Conversion of Public Housing Activity Description | |
|--|---|
| 1a. Development name: | |
| 1b. Development (project) number: | |
| 2. What is the status of the required assessment? | <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below) |
| 3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.) | |
| 4. Status of Conversion Plan (select the statement that best describes the current status) | <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway |
| 5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) | <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: _____) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: _____) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below) |

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

BHA does not intent to voluntarily convert public housing stock to vouchers.

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

BHA will complete a study regarding involuntary conversion of public housing as required by October 1, 2001.

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. ☐ Yes ☒ No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

| Public Housing Homeownership Activity Description (Complete one for each development affected) |
|--|
| 1a. Development name: 1b. Development (project) number: |
| 2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99) |
| 3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application |
| 4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY) |
| 5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development |

B. Section 8 Tenant Based Assistance

1. ☐ Yes ☒ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high

performer status. **High performing PHAs** may skip to component 12.)

[Note: As indicated in the Five-year plan, the Authority intends to study the feasibility of operating a Section 8 homeownership program in 2001]

2. Program Description:

a. Size of Program

☐ Yes ☐ No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- ☐ 25 or fewer participants
- ☐ 26 - 50 participants
- ☐ 51 to 100 participants
- ☐ more than 100 participants

b. PHA-established eligibility criteria

☐ Yes ☐ No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

☒ Yes ☐ No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 14/05/99

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- ☒ Client referrals
- ☒ Information sharing regarding mutual clients (for rent determinations and otherwise)
- ☒ Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- ☒ Jointly administer programs
- ☐ Partner to administer a HUD Welfare-to-Work voucher program
- ☐ Joint administration of other demonstration program

- ☒ Other (describe)
Partner to administer a Family Unification Program in collaboration with the TANF Agency

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- ☐ Public housing rent determination policies
☒ Public housing admissions policies
☐ Section 8 admissions policies
☐ Preference in admission to Section 8 for certain public housing families
☐ Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
☐ Preference/eligibility for public housing homeownership option participation
☐ Preference/eligibility for Section 8 homeownership option participation
☐ Other policies (list below)

b. Economic and Social self-sufficiency programs

- ☒ Yes ☐ No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

| Services and Programs | | | | |
|--|----------------|--|--|---|
| Program Name & Description (including location, if appropriate) | Estimated Size | Allocation Method (waiting list/random selection/specific criteria/other) | Access (development office / PHA main office / other provider name) | Eligibility (public housing or Section 8 participants or both) |
| Day care/EDSS | 93 | Waiting list | PHA Office | PH and Section 8 |
| Technology Center | 250 | Sign in | Main office | PH and Section 8 |
| Credit Union | 641 | Membership | Main office | PH and Section 8 |
| Business start up | 10 | Referral | Off site | PH and Section 8 |
| Community Police | 64 | N/A | Development site | Public Housing |
| Adult day care | 15 | Sign in | Development site | Public Housing |
| Wellness Program | 40 | Sign in, referral | North shore | PH and Section 8 |
| Boys and Girls Club | 150 | Sign in | Saratoga | PH and Section 8 |
| Southern Tier Independent Center | 45 | Sign in | Development sites | PH and Section 8 |
| Senior Enriched Living | 8 | Sign in | North Shore | PH |
| Summer Lunch Program | 150 | Sign in | Saratoga and Carlisle | PH |

(2) Family Self Sufficiency program/s

a. Participation Description

| Family Self Sufficiency (FSS) Participation | | |
|--|--|--|
| Program | Required Number of Participants (start of FY 2000 Estimate) | Actual Number of Participants (As of: DD/MM/YY) |
| Public Housing | | |
| Section 8 | | |

- b. ☐ Yes ☐ No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)
- ☒ Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
 - ☒ Informing residents of new policy on admission and reexamination
 - ☒ Actively notifying residents of new policy at times in addition to admission and reexamination.
 - ☐ Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
 - ☒ Establishing a protocol for exchange of information with all appropriate TANF agencies
 - ☐ Other: (list below)

D. Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

The Quality Housing and Work Responsibility Act of 1998 (QHWRA) added a new section 12 (c) to the United States Housing Act of 1937 (1937 Act) establishing the Community Service Requirement for residents of public housing. Pursuant to Section 12(c) of the 1937 Act, the Housing Authority of the City of Binghamton (BHA) in consultation with the Resident Advisory Board, has established a Community Service Requirement Policy for those residents residing in Public Housing.

Applicability

Residents 18 years or older who are not exempt, as determined by BHA administration in conformance with HUD rules, shall perform eight (8) hours per month of approved community service and/or economic self-sufficiency activities.

Exemptions

- Residents 62 years of age and older
- An individual that is blind or disabled, as defined under section 216(i)(1) or 1614 of the Social Security Act (42 U.S.C. 416(i)(1); 1382c), and who is unable to comply with this section, or is primary caretaker of such individual;
- Is engaged in a work activity (as such term is defined in section 407(d) of the Social Security Act (42 U.S.C. 607), as in effect on and July 1, 1997);
- Meets the requirements for being exempted from having to engage in a work activity under the State program funded under Part A of Title IV of the Social Security Act (42 U.S.C. 601 et seq.) or under any other welfare program of the State in which the public housing agency is located, including a State-administered welfare-to-work program; or
- Is in a family receiving assistance under a State program funded under Part A of Title IV of the Social Security Act (42 U.S.C. 601 et seq.) or under any other welfare program of the State in which the public housing agency is located, including a State-administered welfare-to-work program, and has not been found in noncompliance with such program.

BHA will determine which family members are subject to or exempt from the service requirement for families being recertified beginning July 1, 2001. The exempt or nonexempt status of family members may change throughout the year and family members, at time of recertification, must sign an agreement stating that if the status changes, the family member must contact the appropriate staff person assigned to the family's recertification and inform them of the change. BHA staff will determine if the family member must enter into a community service or economic self-sufficiency program and will notify resident of the process and approved activities.

Certification

Residents' compliance will be verified during the annual recertification process. (Note: Third party certification must be provided by the entity with whom the resident is a community service participant) If a resident has not fulfilled the community service/economic self-sufficiency requirement during the past year, the resident must enter into an agreement with BHA administration to comply with the requirement. If the resident does not enter into such agreement or does not comply with the stipulations in the agreement, the lease will not be renewed. The agreement must be signed by both BHA and resident before the expiration for the lease and must include additional hours of community service or economic self-sufficiency activities to cure the past year's noncompliance.

Approved Community Service Activities:

Services performed for the benefit of the public housing development and/or tenants

Economic Self Sufficiency activities that lead to employment

Resident Organization Participation

The Director of Occupancy and Resident Service will approve the activities that fulfill the community service/economic self-sufficiency requirements and will provide an updated list of approved activities to the BHA administration for dissemination to staff and residents.

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- ☒ High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- ☐ High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- ☒ Residents fearful for their safety and/or the safety of their children
- ☒ Observed lower-level crime, vandalism and/or graffiti
- ☒ People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- ☐ Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply)?

- ☒ Safety and security survey of residents
- ☒ Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- ☒ Analysis of cost trends over time for repair of vandalism and removal of graffiti
- ☒ Resident reports
- ☒ PHA employee reports
- ☒ Police reports
- ☒ Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- ☒ Other (describe below)
News articles

3. Which developments are most affected? (list below)

Carlisle and Saratoga

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- ☒ Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- ☒ Crime Prevention Through Environmental Design
- ☒ Activities targeted to at-risk youth, adults, or seniors
- ☐ Volunteer Resident Patrol/Block Watchers Program
- ☐ Other (describe below)

2. Which developments are most affected? (list below)
Carlisle and Saratoga

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- ☒ Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- ☒ Police provide crime data to housing authority staff for analysis and action
- ☒ Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- ☒ Police regularly testify in and otherwise support eviction cases
- ☒ Police regularly meet with the PHA management and residents
- ☒ Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- ☒ Other activities (list below)
DARE and Child Safety

2. Which developments are most affected? (list below)
Saratoga and Carlisle

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- ☒ Yes ☐ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- ☒ Yes ☐ No: Has the PHA included the PHDEP Plan for FY 2001 in this PHA Plan?
- ☒ Yes ☐ No: This PHDEP Plan is an Attachment. (Attachment Filename: (Attachment D))

14. PET POLICY

[24 CFR Part 903.7 9 (n)]

See Attachment E

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. ☒ Yes ☐ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. ☒ Yes ☐ No: Was the most recent fiscal audit submitted to HUD?
3. ☐ Yes ☒ No: Were there any findings as the result of that audit?
4. ☐ Yes ☒ No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. ☐ Yes ☐ No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. ☒ Yes ☐ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
☐ Not applicable
☐ Private management
☒ Development-based accounting
☐ Comprehensive stock assessment
☐ Other: (list below)
3. ☐ Yes ☒ No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. ☒ Yes ☐ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
☒ Attached at Attachment (File name) **Attachment F**
☐ Provided below:
3. In what manner did the PHA address those comments? (**select all that apply**)
☐ Considered comments, but determined that no changes to the PHA Plan were necessary.
☒ The PHA changed portions of the PHA Plan in response to comments
List changes below:
Attachment F identifies plan comments and action taken by the BHA in response to comments
☐ Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. ☐ Yes ☒ No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. ☒ Yes ☐ No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

- a. Nomination of candidates for place on the ballot: (select all that apply)
☐ Candidates were nominated by resident and assisted family organizations
☐ Candidates could be nominated by any adult recipient of PHA assistance
☐ Self-nomination: Candidates registered with the PHA and requested a place on ballot
☒ Other: (describe) Petition of 50 signatures to be on the ballot
- b. Eligible candidates: (select one)
☐ Any recipient of PHA assistance
☐ Any head of household receiving PHA assistance
☒ Any adult recipient of PHA assistance
☐ Any adult member of a resident or assisted family organization
☐ Other (list)

c. Eligible voters: (select all that apply)

- ☒ All adult recipients of PHA assistance (public housing and Section 8 tenant-based assistance)
- ☐ Representatives of all PHA resident and assisted family organizations
- ☐ Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: The City of Binghamton
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- ☒ The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- ☒ The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- ☒ The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- ☒ Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan.
- ☐ Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The PHA Plan follows the Consolidated Plan without further actions or commitments.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

Required Attachments:

- ☒ Progress in meeting the Mission & Goals outlined in the Five-Year Plan (Attachment A)
- ☐ Admissions Policy for Deconcentration (N/A – required for PHAs with covered developments having average incomes outside the Established Income Range see 24 CFR 903 Subpart A 903.2(c))
- ☒ FY 2001 Capital Fund Program Annual Statement (Attachment B)
- ☐ Most recent board-approved operating budget (N/A - required for PHAs designated troubled or at risk of being designated troubled)

Optional Attachments:

- ☒ FY 2000 Capital Fund Program Five-Year Action Plan (Attachment C)
- ☒ Public Housing Drug Elimination Program (PHDEP) Plan (Attachment D)
- ☒ Pet Policy (Attachment E)
- ☒ Comments of Resident Advisory Board or Boards and HA Responses (must be attached if not included in PHA Plan text) (Attachment F)
- ☒ Other (List below, providing each attachment name)
 - Public Hearing and Stakeholder Comments and HA Responses (Attachment G)
 - Strategies to Address the Impediments to Fair Housing (Attachment H)

Attachment A

Five-Year Plan Progress Report

Binghamton Housing Authority (BHA) has developed this report in order to document the progress made in meeting the missions and goals of the Five-Year Plan submitted for July 1, 2000.

MISSION

BHA's mission is to promote adequate and affordable housing, economic opportunity, and a suitable living environment free from discrimination. In pursuit of its mission, the Binghamton Housing Authority will adhere to the following performance standards in the conduct of their work:

1. Integrity in our relationships with residents, agencies, government entities and others with whom we do business.
2. Encouragement of expression by residents and others associated with the Binghamton Housing Authority.
3. Creativity in developing resources with which to respond to needs expressed by the community.
4. Excellence in the pursuit of our tasks.
5. Commitment in developing our skills.
6. Financial responsibility.
7. Willingness to be open to other points of view.

GOALS

PHA Goal: Expand the supply of assisted housing

- Did not apply for additional Section 8 vouchers in FY 2000 due to the delay in HUD issuing the Family Unification Voucher ACC (i.e., received on 11/2000). BHA will pursue "fair share" and special purpose vouchers as they become available based on need.
- Did not conduct study to determine needs and resources to leverage private or other public funds to create additional housing opportunities in FY2000. Rather, the BHA implemented a strategy with the YMCA to create transitional housing for families that do not or no longer qualify for public housing. The \$3,000,000 program leverages State funds for the development of transitional units and case management for family remediation and transition into traditional rental housing. The BHA intends to conduct a comprehensive assessment and plan after the City issues its FY 2001 Consolidated Plan

PHA Goal: Improve the quality of assisted housing

- Achieved housing management goal of maintaining status as high performing PHAS housing authority (i.e., submitted PHAS score above 90%). Targeted achievements in housing management included improving vacant unit turnaround by 53% and work order completion by 6%.

- Did not establish a Section 8 Management Assessment Program (SEMAP) in FY 2000 because HUD did not issue ACC until 11/2000. SEMAP program will be established in FY2001.
- The timely implementation of capital improvement plan goal was achieved during FY 2000. Highlights include completion of conversion of the Saratoga community facility to a needed day care center and pre-k facility, and the development of an adult day care center at North Shore.

PHA Goal: Increase assisted housing choices

- Section 8 briefing provides voucher mobility counseling.
- Landlord outreach was conducted to ensure that Section 8 voucher holders have access to affordable housing by establishing a database of landlords who had provided housing to families that are now being served in the public housing program.

PHA Goal: Provide an improved living environment

- Implemented public housing security improvements by conducting planned resident survey and responding to survey results. Security improvements in FY 2000 included cameras in communities, increased patrols and marked security personnel.
- Significant results were achieved during FY2000 regarding the failing status of the school health clinics that serve public housing residents. Specifically, the health clinics in Franklin and Roosevelt Elementary are now viable due to BHA intervention funding and technical assistance.

PHA Goal: Promote self-sufficiency and asset development of assisted households

- Alternatives to fund a Section 8 FSS Coordinator and expand service delivery to City's FSS voucher holders were explored, but a solution was not available. The reasoning is that because the BHA does not have an FSS voucher program, it could not apply for the coordinator grant.

PHA Goal: Ensure equal opportunity and affirmatively further fair housing

No complaints regarding EEO or Fair Housing violations were submitted by BHA voucher holders.

Attachment B
Capital Fund Annual Statement
CAPITAL FUND PROGRAM TABLES START HERE

| Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary | | | | | |
|--|---|---|---------|-------------------|---------------------------|
| PHA Name: Binghamton Housing Authority | | Grant Type and Number NY06P01650101 Capital Fund Program Grant No: NY06P01650101 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 2001 |
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | | | | |
| 3 | 1408 Management Improvements Soft Costs | 234,076 | | | |
| | Management Improvements Hard Costs | | | | |
| 4 | 1410 Administration | 117,040 | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 117,040 | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 180,630 | | | |
| 10 | 1460 Dwelling Structures | 496,594 | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Nondwelling Structures | | | | |
| 13 | 1475 Nondwelling Equipment | 25,000 | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1502 Contingency | | | | |

| Annual Statement/Performance and Evaluation Report | | | | | |
|--|---|---|--|-------------------|------------------------------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary | | | | | |
| PHA Name: Binghamton Housing Authority | | Grant Type and Number NY06P01650101 Capital Fund Program Grant No: NY06P01650101 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 2001 |
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) | | | | | |
| <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | Amount of Annual Grant: (sum of lines.....) | 1,170,380 | | | |
| | Amount of line XX Related to LBP Activities | 50,630 | | | |
| | Amount of line XX Related to Section 504 compliance | 275,000 | | | |
| | Amount of line XX Related to Security –Soft Costs | 75,000 | | | |
| | Amount of Line XX related to Security-- Hard Costs | | | | |
| | Amount of line XX Related to Energy Conservation Measures | | | | |
| | Collateralization Expenses or Debt Service | | | | |
| | | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Binghamton Housing Authority | | Grant Type and Number Capital Fund Program Grant No: NY06P01650101 Replacement Housing Factor Grant No: | | | | | Federal FY of Grant: 2001 | | |
|---|--|---|---------------|----------|----------------------|--|---------------------------|--|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| 16-1 | Lead base paint abatement | | 1450 | | 20,000 | | | | |
| Carlisle | Crawl space doors | | 1450 | | 30,000 | | | | |
| | Community space renovation | | 1460 | | 246,594 | | | | |
| | Concrete/sidewalk replacement | | 1450 | | 25,000 | | | | |
| | Landscaping | | 1450 | | 20,000 | | | | |
| | Plumbing repair/replacement | | 1460 | | 20,000 | | | | |
| | | | | Total | 361,594 | | | | |
| NY 16-2 | Landscaping | | 1450 | | 10,000 | | | | |
| North Shore | Concrete/replacement | | 1450 | | 25,000 | | | | |
| | | | | Total | 35,000 | | | | |
| NY16-5 | Maintenance building replacement | | 1460 | | 200,000 | | | | |
| Saratoga | Bathroom repairs | | 1460 | | 25,000 | | | | |
| | Lead base paint abatement | | 1450 | | 30,630 | | | | |
| | Landscaping | | 1450 | | 10,000 | | | | |
| | Concrete replacement | | 1450 | | 10,000 | | | | |
| | Plumbing repairs | | 1460 | | 5,000 | | | | |
| | | | | Total | 280,000 | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

| | | | | | | | |
|--|---|---------|---|---|---------|--------|----------------------------------|
| PHA Name: Binghamton Housing Authority | | | Grant Type and Number Capital Fund Program No: NY06P01650101 Replacement Housing Factor No: NY06P01650101 | | | | Federal FY of Grant: 2001 |
| Development Number Name/HA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
| | Original | Revised | Actual | Original | Revised | Actual | |
| NY16-1 Carlisle | 03/31/03 | | | 03/31/04 | | | |
| | | | | | | | |
| NY16-2 North Shore | 03/31/03 | | | 03/31/04 | | | |
| | | | | | | | |
| NY16-5 Saratoga | 03/31/03 | | | 03/31/04 | | | |
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Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

| Optional 5-Year Action Plan Tables | | | |
|--|---|---------------------|-------------------------------------|
| Development Number | Development Name (or indicate PHA wide) | Number Vacant Units | % Vacancies in Development |
| NY16-1, NY16-2, NY16-5 | PHA-Wide | | |
| Description of Needed Physical Improvements or Management Improvements | | Estimated Cost | Planned Start Date (HA Fiscal Year) |
| Management Improvements | | 234,076 | 2002, 2003, 2004, 2005 |
| Nondwelling Structures and Equipment | | 25,000 | 2002, 2003, 2004, 2005 |
| Administration | | 117,040 | 2002, 2003, 2004, 2005 |
| A/E | | 117,040 | 2002, 2003, 2004, 2005 |
| Total estimated cost over next 5 years | | 493,156 | |

Capital Fund Program Five-Year Action Plan

Part I: Summary

| PHA Name Binghamton Housing Authority | | <input type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No: | | | |
|--|------------------|--|--|--|--|
| Development Number/Name/HA-Wide | Year 1 | Work Statement for Year 2 FFY Grant: 2002 PHA FY: 2002 | Work Statement for Year 3 FFY Grant: 2003 PHA FY: 2003 | Work Statement for Year 4 FFY Grant: 2004 PHA FY: 2004 | Work Statement for Year 5 FFY Grant: 2005 PHA FY: 2005 |
| | Annual Statement | | | | |
| Carlisle – NY16-1 | | 435,680 | 352,500 | 343,500 | 406,594 |
| North Shore – NY16-2 | | 40,000 | 40,000 | 30,000 | 40,000 |
| Saratoga – NY16-5 | | 201,544 | 284,724 | 303,724 | 230,630 |
| HA-Wide | | 493,156 | 493,156 | 493,156 | 493,156 |
| | | | | | |
| | | | | | |
| | | | | | |
| Total CFP Funds Listed for five-year planning (Est.) | | 1,170,380 | 1,170,380 | 1,170,380 | 1,170,380 |
| Total Replacement Housing Factor Funds | | | | | |
| | | | | | |
| | | | | | |

Attachment C

Capital Fund Program Five-Year Action Plan Part II: Supporting Pages—Work Activities

| Activities for Year 1 | Activities for Year : <u>2</u> FFY Grant: 2002 PHA FY: 2002 | | | Activities for Year: <u>3</u> FFY Grant: 2003 PHA FY: 2003 | | |
|--------------------------|---|--------------------------|-------------------|--|--------------------------|-------------------|
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number | Major Work Categories | Estimated Cost |
| See | Carlisle, NY16-1 | Community space | 208,500 | Carlisle, NY16-1 | Sheds | 170,594 |
| Annual | | Sheds | 192,180 | | Landscaping | 40,000 |
| Statement | | Landscaping | 25,000 | | Porch replacement | 141,906 |
| | | Closet doors | 10,000 | | | |
| | | | | Subtotal | | 352,500 |
| | Subtotal | | 435,680 | | | |
| | | | | North Shore, NY16-2 | Landscaping | 20,000 |
| | North Shore, NY16-2 | Landscaping | 20,000 | | Concrete replacement | 20,000 |
| | | Concrete Replacement | 20,000 | | | |
| | | | | Subtotal | | 40,000 |
| | Subtotal | | 40,000 | | | |
| | | | | Saratoga, NY16-5 | Landscaping | 20,000 |
| | Saratoga, NY16-5 | Bathrooms | 100,000 | | Patio/step repair | 264,724 |
| | | Patio repair | 41,544 | | | |
| | | Landscaping | 10,000 | Subtotal | | 284,724 |
| | | Drainage | 50,000 | | | |
| | | | | | | |
| | Subtotal | | 201,544 | | | |
| | | | | | | |
| | | | | | | |
| | Total CFP Estimated Cost | | \$ 677,224 | | | \$ 677,224 |

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

| Activities for Year 1 | Activities for Year : <u>4</u> FFY Grant: 2004 PHA FY: 2004 | | | Activities for Year: <u>5</u> FFY Grant: 2005 PHA FY: 2005 | | |
|-----------------------|---|-----------------------|-------------------|--|-----------------------|-------------------|
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number | Major Work Categories | Estimated Cost |
| See | Carlisle, NY16-1 | Porch replacement | 243,500 | Carlisle, NY16-1 | Sheds | 100,000 |
| Annual | | Landscaping | 25,000 | | Porch replacement | 125,000 |
| Statement | | Steps/sidewalks | 75,000 | | Closet Doors | 100,000 |
| | | | | | Plumbing | 81,594 |
| | Subtotal | | 343,500 | | | |
| | | | | Subtotal | | 406,594 |
| | North Shore, NY16-2 | Landscaping | 20,000 | | | |
| | | Concrete Replacement | 10,000 | North Shore, NY16-2 | Landscaping | 20,000 |
| | | | | | Concrete repairs | 20,000 |
| | Subtotal | | 30,000 | | | |
| | | | | Subtotal | | 40,000 |
| | Saratoga, NY16-5 | Bathrooms | 200,000 | | | |
| | | Floor repairs | 78,724 | Saratoga, NY16-5 | Landscaping | 20,000 |
| | | Landscaping | 25,000 | | Drainage | 50,000 |
| | | | | | Plumbing repairs | 50,000 |
| | Subtotal | | 303,724 | | Pavement replacement | 110,630 |
| | | | | Subtotal | | 230,630 |
| | | | | | | |
| | | | | | | |
| | Total CFP Estimated Cost | | \$ 677,224 | | | \$ 677,224 |

Attachment D

Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

- 1. General Information/History**
- 2. PHDEP Plan Goals/Budget**
- 3. Milestones**
- 4. Certifications**

Section 1: General Information/History

- A. Amount of PHDEP Grant \$157,488**
- B. Eligibility type (Indicate with an "x")** N1 _____ N2 _____ R X
- C. FFY in which funding is requested 2001**
- D. Executive Summary of Annual PHDEP Plan**

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

Binghamton Housing Authority (BHA) is requesting Public Housing Drug Elimination Program monies to continue operating its drug elimination and prevention programs for the Binghamton community. The comprehensive strategy was developed in 1990 and its major initiatives are:

- Increasing the security of the community through the Binghamton Police Department
- Continue operating the Binghamton Boys and Girls Club drug prevention and recreational programs
- Providing youth mentoring, tutoring and counseling through the Binghamton University Liberty Partnership
- Providing peer counseling through the Southern Tier Independence Center and
- Operating the Urban League Partners in the Park Program

The BHA PHDEP Program's specific goals are to:

- 1) Eliminate drug trafficking in and around BHA communities
- 2) Eliminate the use of drugs in and around BHA communities
- 3) Ensure a continued strong police presence in the BHA community
- 4) Provide prevention services to residents who are in need to assist them in leading productive, drug free lives
- 5) Coordinate these efforts with economic development initiatives assisting residents to make the transition from Welfare to Work

BHA has forged strong community partnerships in the pursuit of attaining these goals and plans to continue the beneficial efforts made in the elimination and prevention of drug use in the Binghamton community.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

| PHDEP Target Areas (Name of development(s) or site) | Total # of Units within the PHDEP Target Area(s) | Total Population to be Served within the PHDEP Target Area(s) |
|--|---|--|
| Saratoga Apartments | 267 | 754 |
| Carlisle Apartments | 150 | 450 |
| NorthShore Apartments | 224 | 224 |

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an “x” to indicate the length of program by # of months. For “Other”, identify the # of months).

6 Months _____ 12 Months X 18 Months _____ 24 Months _____ Other _____

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

| Fiscal Year of Funding | PHDEP Funding Received | Grant # | Fund Balance as of Date of this Submission | Grant Extensions or Waivers | Anticipated Completion Date |
|---------------------------|------------------------------|----------------|--|-----------------------------------|-----------------------------------|
| FY 1995 | 250,000 | NY06DEP0160195 | | | Closed |
| FY 1996 | 250,000 | NY06DEP0160196 | | | Closed |
| FY 1997 | 192,300 | NY06DEP0160197 | | | Closed |
| FY1998 | 192,300 | NY06DEP0160198 | \$ 26,800 | | 6/1/00 |
| FY 1999 | 140,982 | NY06DEP0160199 | \$ 140,982 | | 6/1/01 |
| FY2000 | 157,488 | NY06DEP0160100 | \$157,488 | | 6/1/02 |

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

The BHA has developed a PHDEP strategy that addresses the immediate needs of the community in relation to the elimination of drugs and also addresses preventing drug abuse in the future. The broad goals and objectives of the fiscal year 2000 drug elimination program are to:

- 1) Eliminate drug trafficking in and around BHA communities
- 2) Eliminate the use of drugs in and around BHA communities
- 3) Ensure a continued strong police presence in the BHA community
- 4) Provide prevention services to residents who are in need to assist them in leading productive, drug free lives
- 5) Coordinate these efforts with economic development initiatives assisting residents to make the transition from Welfare to Work

The targeted developments are Saratoga Apartments, Carlisle Apartments, and North Shore Apartments. There are measurement processes currently in place to monitor and evaluate the PHDEP funded activities, including the collection and maintenance of quantifiable crime records, security reports, and drug prevention/education program attendance and participation.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

| FY <u>2000</u> PHDEP Budget Summary | |
|---|-------------------|
| Budget Line Item | Total Funding |
| 9110 – Reimbursement of Law Enforcement | \$ 40,000 |
| 9120 - Security Personnel | |
| 9130 – Employment of Investigators | |
| 9140 – Voluntary Tenant Patrol | |
| 9150 – Physical Improvements | |
| 9160 - Drug Prevention | \$ 115,450 |
| 9170 - Drug Intervention | |
| 9180 - Drug Treatment | |
| 9190 - Other Program Costs | \$2,038 |
| | |
| TOTAL PHDEP FUNDING | \$ 157,488 |

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

| 9110 – Reimbursement of Law Enforcement | | Total PHDEP Funding: \$ 40,000 |
|---|--|--------------------------------|
| Goal(s) | <p>The Binghamton Housing Authority will contract with the Binghamton Police Department for additional security and educational programs, which will include a community officer and D.A.R.E. Program, Citizen Police Academy, Neighborhood Watch, Operation I.D., the McGruff Safety and Safe Kids Identification Programs and National Night Out. The Goals are to provide BHA with above baseline services of Binghamton Police Dept. and specifically</p> <ol style="list-style-type: none"> 1) Ensure the continuation of a strong police presence in BHA communities 2) Eliminate drug trafficking in and around BHA communities 3) Eliminate the use of drugs in and around BHA communities 4) Increase drug education and prevention among BHA community | |
| Objectives | <p>The Objectives, along with the Goals, are all encompassing among programs. The objectives are to continue operation of the Binghamton Police Department's Community Officer Program, McGruff Program, D.A.R.E., and crime prevention programs in order to-</p> <ol style="list-style-type: none"> 1) Increase drug related arrests 2) Decrease security incidents 3) Decrease drug related crimes 4) Increase resident involvement | |

| 9110 – Reimbursement of Law Enforcement | | | | | Total PHDEP Funding: \$ 40,000 | | |
|---|---------------------|-------------------|------------|------------------------|--------------------------------|--|---|
| Proposed Activities | # of Persons Served | Target Population | Start Date | Expected Complete Date | PHDEP Funding | Other Funding (Amount/Source) | Performance Indicators |
| 1. Community Officer (Binghamton Police Officer) works at and with BHA community | | | 6/01 | 5/31 | \$ 34,944 | \$ 7,600 (Binghamton Housing Authority, used for office space and utilities) | 5% increase in drug related arrests 5% decrease in security incidents 5% decrease in drug related crime |
| 2. Operation of McGruff Child Safety Program and Safe Kids I.D. | | | 6/01 | 5/31 | \$ 1,600 | | 10% increase in participation |
| 3. Operation of D.A.R.E. Summer Program | | | 5/01 | 9/01 | \$ 1,800 | | 10% increase in participation |
| 4. Crime prevention programs, which consist of Continuation of Operation Identification and Operation of Citizen Police Academy | | | 6/01 | 5/31 | \$ 1,656 | | 10% increase of resident crime reporting calls |
| Total | | | | | \$ 40,000 | \$ 7,600 | |

| 9160 – Drug Prevention | | | | | Total PHDEP Funding: \$ 115,450 | | |
|---|--|-------------------------------------|------------|------------------------|---------------------------------|--|---|
| Goal(s) | The continued operation of drug prevention programs will provide many services to BHA families and community youth, including drug awareness seminars, mentoring and tutoring, peer counseling and other related activities. Specific goals are- 1) reduction in juvenile crime 2) improvement in school performance 3) improvement in self-esteem of program participants 4) reduction in youth delinquency 5) prevention of drug abuse and decrease in drug abuse among residents | | | | | | |
| Objectives | Provide drug prevention programs to BHA community. | | | | | | |
| Proposed Activities | # of Persons Served | Target Population | Start Date | Expected Complete Date | PHDEP Funding | Other Funding (Amount /Source) | Performance Indicators |
| 1. Operation of Binghamton Boys and Girls Club. The Club offers programs for youth and their parents, including individual assistance, small group interaction, and large group activities. | 150 | Binghamton Housing Authority youths | 6/01 | 5/31 | \$ 60,950 | \$ 60,000 (In kind sources of Cornell Cooperative Extension, Binghamton schools, Binghamton University, SUNY Cortland, Broome County Social Services and the Boys and Girls Club) | 5% increase in program attendance 90% participant satisfaction |

| Proposed Activities | # of Persons Served | Target Population | Start Date | Expected Complete Date | PHEDEP Funding | Other Funding (Amount /Source) | Performance Indicators |
|--|---------------------|--|------------|------------------------|----------------|---|---|
| 2. Operation of the Southern Tier Independence Center (STIC) that provides a full-time Peer Counselor to do outreach, advocacy, facilitate support groups, provide peer counseling and provide training to reduce and resist drug use to BHA residents of all ages, with all disabilities, and their families | 45 | BHA residents of all ages, with all disabilities, and their families | 6/01 | 5/31 | \$ 30,000 | \$ 6,243 (In kind source of STIC) | 5% increase in program attendance 90% participant satisfaction |
| 3. Operation of the award-winning Partners in the Park Program. The Program, in collaboration with the Urban League of Broome County, YWCA, Boy Scouts, Roberson Museum and Science Center, St. Mary Assumption, City of Binghamton, and Binghamton Mets, provides summer programs to children aged 6-13 that include leadership training, sports, recreational programs, cultural programs and literacy programs. | 150 | Binghamton youths, aged 6-13 | 4/01 | 8/01 | \$ 9,500 | \$ 15,303 (In kind sources of Urban League of Broome County, YWCA, Boy Scouts, Roberson Museum and Science Center, St. May Assumption, City of Binghamton, Binghamton Mets | 100% of projected program participation 90% participant satisfaction |
| 4. Operation of Binghamton University Liberty Partnership. The Program provides tutoring/mentoring programs to at-risk middle school and high school students who are residents of BHA. | 30 | At risk BHA middle and high school students | 6/01 | 5/31 | \$ 15,000 | \$ 31,271 (In kind source of Binghamton University Liberty Partnership) | 5% increase in program attendance 90% participant satisfaction |
| 5. Salary of Program Coordinator that will manage and facilitate coordination among the service providers, to prepare the evaluation and meet reporting requirements and to interface with residents to identify needs. | N/A | N/A | 6/01 | 5/31 | \$ 2,038 | \$ 20,318 | Collaboration among service providers Preparation of evaluations Reporting requirements met Interface with residents to identify needs |
| Totals | | | | | \$157,488 | \$ 133,135 | |

Table Library

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

| Budget Line Item # | 25% Expenditure of Total Grant Funds By Activity # | Total PHDEP Funding Expended (sum of the activities) | 50% Obligation of Total Grant Funds by Activity # | Total PHDEP Funding Obligated (sum of the activities) |
|-------------------------------------|---|---|--|--|
| <i>e.g. Budget Line Item # 9120</i> | Activities 1, 3 | | Activity 2 | |
| | | | | |
| 9110 | Activity 1 | \$ 8,736 | Activity 1 | \$ 17,472 |
| | Activity 2 | 400 | Activity 2 | 800 |
| | Activity 3 | 450 | Activity 3 | 900 |
| | Activity 4 | 414 | Activity 4 | 828 |
| 9120 | | | | |
| 9130 | | | | |
| 9140 | | | | |
| 9150 | | | | |
| 9160 | Activity 1 | 15,237 | Activity 1 | 30,475 |
| | Activity 2 | 7,500 | Activity 2 | 15,000 |
| | Activity 3 | 2,375 | Activity 3 | 4,750 |
| | Activity 4 | 3,750 | Activity 4 | 7,500 |
| | Activity 5 | 510 | Activity 5 | 1,019 |
| 9170 | | | | |
| 9180 | | | | |
| 9190 | | | | |
| | | | | |
| TOTAL | | \$ 39,372 | | \$ 78,744 |

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

Attachment E

14. PET POLICY

[24 CFR Part 903.7 9 (n)]

1.0 GENERAL REGULATIONS

In accordance with HUD regulations, a Public Housing Authority will attempt to accommodate both pet owning and non-pet owning applicants according to the Binghamton Housing Authority's eligibility, selection, admission, and pet ownership policies for all tenants of projects for the elderly or persons with disabilities. No person shall be denied occupancy based on pet ownership.

2.0 DEFINITION OF PETS

Common household pets shall be defined as dog, domestic cats, birds, fish, turtles or caged rodents traditionally kept in the home for pleasure rather than for commercial purposes. The keeping of snakes and other reptiles shall be prohibited.

3.0 NUMBER OF PETS ALLOWED

Only one pet per apartment is allowed at any time unless permission is granted for additional pets by the Housing Authority. This request must be in writing. Any pet must be licensed according to local regulations in the name of the tenant.

4.0 SPAYING OR NEUTERING

All cats and dogs must be spayed or neutered and documentation of this must be furnished to the Housing Authority.

5.0 WEIGHT

Dogs weighing more than 20 pounds or that can reach a weight of 20 pounds as adults will not be permitted. Turtles weighing more than 16 ounces will not be permitted. Caged rodents weighing more than 2 pounds will not be permitted. Fish tanks in excess of 10 gallons will not be permitted.

6.0 INOCULATION AND HEALTH CARE REQUIREMENTS

Tenants must, on request, provide proof that the pet has received the following shots:

6.1 DOGS

- A. Distemper, hepatitis, leptospirosis (two types), parvovirus, parasite influenza, kennel cough. These are administered in one shot each month until the dog reaches four (4) months of age; annually thereafter.

- B. Rabies – one shot at six (6) months of age and every three years thereafter.
- C. A blood test for heartworm and medication (liquid or pill) for all dogs once each year. (Medication will not be dispensed without blood tests first.) Medication should be given to dogs from May to December each year.
- D. Ear mites – appear as a tan or dark brown waxy build-up on inside of ears. Vets must check and dispense ear drops as needed.

6.2 CATS

- A. Panleukopenia (feline distemper), calici virus, rhinotrachitis. These are to be administered annually.
- B. Rabies – administered annually or every three years, depending on vaccine need. Any vaccine is only good for one year if the cat is under one year of age.
- C. Ear mites – appear as a tan or dark brown waxy build-up on inside of ears. Vet must check and dispense ear drops as needed.

7.0 ADDITIONAL CARE REQUIRED FOR BOTH DOGS AND CATS – MUST INCLUDE THE FOLLOWING:

- 7.1 Odors/dermatitis (skin problems) – flea/tick sprays or powders must be used as needed.
- 7.2 All dogs and cats must be brushed often for hygienic purposes.
- 7.3 Toenails – cats should have a type of scratching post or have toenails clipped by a Vet or a pet groomer. Dogs should also have toenails clipped.
- 7.4 All animals must have an annual check-up and receive new certification of inoculation.
- 7.5 Use water and Clorox or other strong disinfectant if animal inadvertently urinates, sprays or defecates in an apartment.

8.0 LEASE REGULATIONS

All dogs and cats must be on a leash not longer than four (4) feet when out of the apartment.

9.0 LIMITATIONS

Dogs and cats are not allowed in any community room or laundry room in any building.

10.0 TENANT'S ABILITY TO TAKE CARE OF PET

Doctor's certificate must be provided to verify individual's ability to care for a pet.

11.0 EMERGENCY CARE GIVER

Tenant must identify and document willingness of a third party (third party must sign) who will, within an hour, remove and care for the pet. In the event that the designated party cannot be reached, or fails to act within 24 hours, the Binghamton Housing Authority will turn the pet over to the Humane Society.

12.0 CAT LITTER TRAY

Tenants owning a cat shall provide a litter tray for the animal's use in his or her apartment. Waste is to be separated from kitty litter daily, placed in a properly secured trash bag, and disposed of properly. Kitty litter is to be changed twice weekly and disposed of in properly secured trash bags. Under no circumstances is kitty litter to be flushed in the toilet. Properly bagged litter should be disposed of in the incinerator, or doubled bagged and placed in the proper trash container.

13.0 EXCRETION

Dogs and cats shall not be permitted to excrete anywhere in buildings (other than cats using a litter box in the apartment). The Housing Authority shall designate an area on the grounds for use of the dogs. Pets cannot be exercised in these areas between the hours of 11:00 p.m. and 7:00 a.m. Tenants shall be responsible for immediately removing dog feces dropped anywhere, placing the same in a bag or small container and disposing of the same properly.

14.0 CHARGE FOR FAILURE TO REMOVE PET WASTE

A charge of \$10.00 will be levied for each offence to pet owners who fail to remove pet waste in accordance with rules stated in numbers 12 and 13.

15.0 SECURITY DEPOSIT

A \$100.00 security deposit shall be charged to owners of dogs and cats. Upon the tenants vacating the premises, this amount will be applied, if necessary, for services of clean-up and extermination incurred because of the pet's presence in the rental unit.

16.0 THREAT DUE TO PET

If a pet becomes vicious, displays symptoms of severe illness or demonstrates other behavior that constitutes an immediate threat to the health or safety the tenancy as a whole, the Housing Authority may request that the pet owner immediately remove the pet from the rental unit. If the pet owner refuses the removal of the pet or if the Housing Authority is unable to contact the pet owner, the Housing Authority may contact the appropriate State or local authority (or designated agent of such an authority) to have the pet immediately removed from the rental premises.

17.0 PET RULE VIOLATION PROCEDURES

- 17.1 Notice of pet rule violation – If the Housing Authority determines, on the basis of objective facts supported by written statements, that a pet owner has violated a rule governing the keeping of pets, the Housing Authority shall serve a notice of pet rule violation on the pet owner. The notice of pet rule violation must be in writing and must:
- A. Contain a brief statement of the factual basis for the determination in the pet rule or rules alleged to have been violated;
 - B. State that the pet owner has ten (10) days from the effective date of service of the notice to correct the violation (including, in appropriate circumstances, removal of the pet) or to make a written request for a meeting to discuss the violation;
 - C. State that the pet owner's failure to correct the violation, to request a meeting or to appear at the requested meeting, may result in the initiation of procedures to have pet removed or to terminate the pet owner's tenancy, or both.

18.0 PET RULE VIOLATION MEETING

- 18.1 If the pet owner makes a timely request for a meeting to discuss a pet rule violation, the Housing Authority shall establish a mutually agreeable time and place for the meeting no later than fifteen (15) days from the effective date of the notice of the pet violation. At the pet rule violation meeting, the pet owner and the Housing Authority shall discuss any alleged pet rule violation and attempt to correct it. The Housing Authority may, as a result of the meeting, give the pet owner additional time to correct the violation. If the parties are unable to resolve the problem, the Housing Authority may inform the pet owner, in writing, that the pet must be removed from the rental unit within ten (10) days of the pet rule violation meeting.

19.0 NOTICE OF PET REMOVAL

If the Housing Authority determines that the pet owner has failed to correct the pet rule violation within the time provided under paragraph 18.1 of this section (including any additional time permitted by the owner) the Housing Authority may serve a notice on the pet owner requiring the pet owner to remove the pet. The notice must be in writing and must (1) contain a brief statement of the factual basis for the determination of the pet rule that has been violated; (2) state that the pet owner must remove the pet within ten (10) days from the effective date of the notice; and (3) state that the failure to remove the pet may result in initiation of procedures to have the pet removed or terminate the pet owner's tenancy, or both.

20.0 COMMENCEMENT OF PROCEDURES TO REMOVE A PET OR TERMINATE THE PET OWNER'S TENANCY

If the Housing Authority determines that (1) a pet owner has not removed the pet or corrected the pet rule violation within the applicable time period specified in this section (including any additional time permitted by the Housing Authority), and (2) the pet rule violation is sufficient to begin proceedings to have the pet removed or terminate the pet owner's tenancy under terms of the lease and applicable regulations, the Housing authority may commence such procedures.

21.0 DAMAGES

Pet owners will be charged for all violations and damages caused by the pet according to posted rates.

22.0 SIGNATOIRES

All tenants with pets shall sign this pet policy.

23.0 EXCLUSIONS

This policy does not apply to animals that are used to assist persons with disabilities. Assistive animals are allowed in all public housing facilities with no restrictions other than those imposed on all tenants to maintain their units and associated facilities in a decent, safe and sanitary manner and to refrain from disturbing their neighbors.

ATTACHMENT F

**CERTIFICATION OF PARTICIPATION AND COMMENTS
OF THE RESIDENT ADVISORY BOARD
ON THE
BINGHAMTON HOUSING AUTHORITY AGENCY PLAN**

Whereas, The Quality Housing and Work Responsibility Act (QHWRA) of 1998 requires that BHA drafts and submits an Agency Plan to the Department of HUD;

Whereas, The agency planning process is to include the establishment of a Resident Advisory Board comprised of resident council members and program participants;

Whereas, Said Resident Advisory Board was established on February 3, 2000 to participate in the planning process and to make recommendations regarding the Agency Plan;

Whereas, Said Board has studied the Agency Plan draft and held an April 11, 2001 meeting to discuss, in detail, the various components of the plan;

Whereas, The Resident Advisory Board makes the following observations and comments on behalf of the public housing residents regarding the FY 2001 Agency Plan:

Notwithstanding the Pet Policy Statute, the Resident Advisory Board still does not support the pet policy that allows dogs or cats in family units and believes that it will adversely affect the mission of HUD to provide safe, decent, affordable housing for low-income families;

Supports the Authority's intention to designate an elderly-only North Shore community;
and

Whereas, The Resident Advisory Board makes the following observations and comments on behalf of the public housing residents regarding the progress of the BHA in implementing the following Agency Plan recommendation of the Advisory Board in FY 2000:

Commitment to improve the quality of housing by providing community service centers at family sites.

Explored the benefits and risks of establishing Resident Patrol/Block Watch programs at its family sites.

Commitment to provide officer foot patrol (in BHA jackets and caps) at various unscheduled times, especially during the evening and night.

Developed a pet policy that is well thought out and enforceable so that owners are required to properly care for their pets and pets do not interfere with the peaceful living enjoyment of neighbors.

The community service requirement is designed to provide motivation, encourage self-sufficiency and offers options, and the policy is implemented in a positive and dignified manner. The Resident Advisory Board would like to further participate in the implementation of the community service program.

Be It Resolved, That the Resident Advisory Board, as the representative of the residents of public housing, has concluded that the:

Agency Plan accurately presents our understanding of the strategies, goals and objectives of BHA.

Agency Plan is reflective of the views and input of the members of the Resident Advisory Board.

Resident Advisory Board has reached a consensus in support of the Agency Plan.

Shirley Wigfall
Resident Advisory Chairperson

4/11/2001
Date

Attachment F (continued)
Binghamton Housing Authority Response
Resident Advisory Board Comments

The Binghamton Housing Authority appreciates the effort, insight and impact of the Resident Advisory Board's comments regarding the FY 2001 Annual Plan.

The Binghamton Housing Authority understands the Resident Advisory Board's concerns relative to permitting dogs and cats in family units. We have crafted a Pet Policy to restrict dogs and cats in family communities to the extent permitted under the Housing Act of 1937. We pledge to vigorously enforce the policy. Finally, we will study the impact and revisit the policy for refinement within 12 months of its July 1, 2001 effective date.

The Binghamton Housing Authority intends to involve the Resident Advisory Board in the implementation of the community service requirement.

The Binghamton Housing Authority will submit an application for a North Shore elderly-only building per the 2001 Agency Plan.

David Tanenhaus
Executive Director

4/16/2001
Date

Attachment G

Public Hearing and Stakeholder Comments

A public hearing was convened on __May 21, 2001____ at the Binghamton Housing Authority Administrative Offices. It was conducted by the Executive Director and attended by __45__ participants. The participants included representatives from all of the public housing developments.

Several issues related to the Agency Plan were discussed as follows:

The Agency Plan and related work items were reviewed by the Executive Director. All aspects of the plan were well received by those individuals attending. Several positive comments were received concerning improvements made the prior year such as

1. renovations to existing Adult Day center as well as
2. proposed construction (i.e. Carlisle Community Space Renovations).

Some maintenance and modernization comments were made for future consideration.

Attachment G (continued)
Binghamton Housing Authority
Response to Public Hearing and Stakeholder Comments

Response to Public Hearing Comments

1. As a result of the Agency Plan requirement, the Binghamton Housing Authority staff prepared strategies and objectives designed to improve and/or maintain the highest level of services to its participants and set out an annual plan to meet the needs of eligible families in the community. It then developed and formalized the policies and activities that it would follow to achieve the desired outcomes.

The Binghamton Housing Authority established a Resident Advisory Committee to assist in the planning and drafting of the Agency Plan. Once the draft was completed, the Authority placed a public notice in the local paper requesting comments and setting a public hearing date of May 21, 2001. In addition, the Authority sent a draft to the City to obtain their comments and solicit their certification of consistency with the jurisdiction's Consolidated Plan. Comments and suggestions made by these parties were incorporated into the final draft submitted to the Board for approval.

2. The Housing Authority intends to review and revise its admissions and occupancy plan as a result of the Quality Housing and Work Responsibility Act. This will ensure consistency and address new requirements that include mandatory earned income exclusions, elderly medical expense deductions and flat rents. In the interim, the Authority has disclosed its current admissions and occupancy policies within the Annual Plan. Any changes proposed will follow Board approval procedures as applicable.

The Binghamton Housing Authority's response to the comments are that the Binghamton Housing Authority will review and prioritize all comments and suggestions and, where possible, include in current or future plans as necessary.

Attachment H

Strategies to Impediments to Fair Housing

The Binghamton Housing Authority reviewed its programs and proposed programs and has determined that there are no impediments to fair housing within the programs.

The City of Binghamton's Analysis to Fair Housing Choice states that "since the City did not find any impediments to the availability to fair housing within its jurisdiction, no corrective action plan is necessary." Therefore, it is not necessary to develop a strategy to address those barriers.

Attachment I

CAPITAL FUND PROGRAM TABLES START HERE

| Annual Statement/Performance and Evaluation Report | | | | | |
|---|---|---|----------|-------------------|-------------------------------------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary | | | | | |
| PHA Name: Binghamton Housing Authority | | Grant Type and Number Capital Fund Program Grant No: NY06P01670697 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 1997 |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) | | | | | |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: x Final Performance and Evaluation Report period ending 12/31/00 | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | \$88,202 | \$88,202 | \$88,202 | \$88,202 |
| 3 | 1408 Management Improvements Soft Costs | 176,404 | 158,524 | 158,524 | 158,524 |
| | Management Improvements Hard Costs | | | | |
| 4 | 1410 Administration | 88,202 | 88,202 | 88,202 | 88,202 |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 88,202 | 92,176 | 92,176 | 92,176 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 66,012 | 81,998 | 81,998 | 81,998 |
| 10 | 1460 Dwelling Structures | 375,000 | 355,040 | 355,040 | 355,040 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | -0- | 4,799 | 4,799 | 4,799 |
| 12 | 1470 Nondwelling Structures | -0- | 948 | 948 | 948 |
| 13 | 1475 Nondwelling Equipment | -0- | 12,133 | 12,133 | 12,133 |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |

| Annual Statement/Performance and Evaluation Report | | | | | |
|---|---|---|---------|-------------------|------------------------------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary | | | | | |
| PHA Name: Binghamton Housing Authority | | Grant Type and Number Capital Fund Program Grant No: NY06P01670697 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 1997 |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) | | | | | |
| <input type="checkbox"/> Performance and Evaluation Report for Period Ending: x Final Performance and Evaluation Report period ending 12/31/00 | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1502 Contingency | | | | |
| | | | | | |
| | Amount of Annual Grant: (sum of lines.....) | 882,022 | 882,022 | 882,022 | 882,022 |
| | Amount of line XX Related to LBP Activities | 50,000 | 50,000 | 50,000 | 50,000 |
| | Amount of line XX Related to Section 504 compliance | | | | |
| | Amount of line XX Related to Security –Soft Costs | 61,404 | 61,404 | 61,404 | 61,404 |
| | Amount of Line XX related to Security-- Hard Costs | | | | |
| | Amount of line XX Related to Energy Conservation Measures | | | | |
| | Collateralization Expenses or Debt Service | | | | |
| | | | | | |
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Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Binghamton Housing Authority | | Grant Type and Number Capital Fund Program Grant No:NY06P01670697 Replacement Housing Factor Grant No: | | | | | 1997 | | |
|---|--|--|---------------|----------|----------------------|---------|-------------------|---------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| 1. NY-16-1 | Carlisle Apartments | | | | | | | | |
| | -admintrative Building rehab | | 1460 | 1 | 150,000 | -0- | -0- | -0- | |
| 2. NY16-2 | North Shore | | | | | | | | |
| | -construct tech center | | 1460 | 1 | 150,000 | 291,417 | 291,417 | 291,417 | Complete |
| | -new canopies, low rise apartments | | 1450 | 50 | 30,000 | 41,527 | 41,527 | 41,527 | Complete |
| 3. NY16-5 | Saratoga | | | | | | | | |
| | -bilco crawlspace doors | | 1460 | 18 | 25,000 | 29,694 | 29,694 | 29,694 | Complete |
| | -landscaping/drainage | | 1450 | 1 | 20,000 | 40,471 | 40,471 | 40,471 | Complete |
| | -concrete replacement | | 1450 | 2500sq | 16,012 | -0- | -0- | -0- | |
| 4. Authority-wide | -lead base paint abatement | | 1460 | 1 | 50,000 | 33,929 | 33,929 | 33,929 | Complete |
| | | | | | | | | | |
| 5. Operating subsidy | Operating subsidy | | 1406 | 1 | 88,202 | 88,202 | 88,202 | 88,202 | Complete |
| 6. PHA-wide | A. security services including off-duty police, night patrols, tenant patrols, | | 1408 | | 61,404 | 61,404 | 61,404 | 61,404 | Complete |
| | B. Provide for two resident initiative coordinators, 2 @ \$24,960/yr | | 1408 | | 49,920 | 49,920 | 49,920 | 49,920 | Complete |
| | C. staff/resident training | | 1408 | | 25,000 | 23,554 | 23,554 | 23,554 | Complete |
| | D. computer system software | | 1408 | | 20,000 | 3,566 | 3,566 | 3,566 | Complete |
| | E. credit union/econ. Development activities | | 1408 | | 20,080 | 20,080 | 20,080 | 20,080 | Complete |
| | TOTAL | | | | 176,404 | 158,524 | 158,524 | 158,524 | Complete |
| PHA-wide | A. salary of contract adm., 80% of mod/maint. Adm., 20% exec.& fin. Dir. | | 1410 | | 68,868 | 68,868 | 68,868 | 68,868 | Complete |

| Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages | | | | | | | | | |
|---|---|--|---|----------|----------------------|--------|-------------------|--------|----------------|
| PHA Name: Binghamton Housing Authority | | | Grant Type and Number Capital Fund Program Grant No:NY06P01670697 Replacement Housing Factor Grant No: | | | | 1997 | | |
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | B. fringe benefits, 10% of above contracted costs | | 1410 | | 19,334 | 19,334 | 19,334 | 19,334 | Complete |
| | C. Fees & costs, 10% of Saratoga, Carlisle, and North Shore | | 1430 | | 88,202 | 92,176 | 92,176 | 92,176 | Complete |
| PHA-wide | Computers & hardware | | 1465.1 | | -0- | 12,133 | 12,133 | 12,133 | Complete |
| | Ranges & refrigerators | | 1470 | | -0- | 4,799 | 4,799 | 4,799 | Complete |
| | Security hardware | | 1475 | | -0- | 948 | 948 | 948 | Complete |

| Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule | | | | | | | |
|---|---|--|--------|---|---------|---------------------------|----------------------------------|
| PHA Name: Binghamton Housing Authority | | Grant Type and Number Capital Fund Program No: NY06P01670697 Replacement Housing Factor No: | | | | Federal FY of Grant: 1997 | |
| Development Number Name/HA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
| | Original | Revised | Actual | Original | Revised | Actual | |
| NY16-1 Carlisle | 3/31/33 | N/A | N/A | 12/2000 | N/A | N/A | |
| | | | | | | | |
| NY 16-2 North Shore | 3/31/99 | | 6/99 | 12/2000 | | 6/2000 | |
| | | | | | | | |
| NY 16-5 Saratoga | 3/31/99 | | 6/99 | 12/2000 | | 12/2000 | |
| | | | | | | | |
| BHA Authority wide | 3/31/99 | | 6/99 | | | 6/2000 | |
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Attachment I

CAPITAL FUND PROGRAM TABLES START HERE

| Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary | | | | | |
|---|---|--|---------|-------------------|-------------------------------------|
| PHA Name: Binghamton Housing Authority | | Grant Type and Number Capital Fund Program Grant No: NY06P01670798 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 1998 |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: xx <input type="checkbox"/> Final Performance and Evaluation Report period ending 12/31/2000 | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | | | | |
| 3 | 1408 Management Improvements Soft Costs | 184,361 | 184,361 | 184,361 | 184,361 |
| | Management Improvements Hard Costs | | | | |
| 4 | 1410 Administration | 92,180 | 92,180 | 92,180 | 92,180 |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 69,180 | 69,180 | 69,180 | 69,180 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 352,630 | 204,050 | 204,050 | 204,050 |
| 10 | 1460 Dwelling Structures | 200,455 | 355,456 | 355,456 | 355,456 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Nondwelling Structures | | | | |
| 13 | 1475 Nondwelling Equipment | 23,000 | 16,580 | 16,580 | 16,580 |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |

| Annual Statement/Performance and Evaluation Report | | | | | |
|---|---|---|---------|-------------------|------------------------------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary | | | | | |
| PHA Name: Binghamton Housing Authority | | Grant Type and Number Capital Fund Program Grant No: NY06P01670798 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 1998 |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) | | | | | |
| <input type="checkbox"/> Performance and Evaluation Report for Period Ending: xx <input type="checkbox"/> Final Performance and Evaluation Report period ending 12/31/2000 | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1502 Contingency | | | | |
| | Amount of Annual Grant: (sum of lines.....) | 921,807 | 921,807 | 921,807 | 921,807 |
| | Amount of line XX Related to LBP Activities | 50,000 | 19,000 | 19,000 | 19,000 |
| | Amount of line XX Related to Section 504 compliance | | | | |
| | Amount of line XX Related to Security –Soft Costs | 59,361 | 69,588 | 69,588 | 69,588 |
| | Amount of Line XX related to Security-- Hard Costs | | | | |
| | Amount of line XX Related to Energy Conservation Measures | | | | |
| | Collateralization Expenses or Debt Service | | | | |
| | | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Binghamton Housing Authority | | Grant Type and Number Capital Fund Program Grant No: NY06P01670798 Replacement Housing Factor Grant No: | | | | | Federal FY of Grant: 1998 | | |
|---|--|---|---------------|-------------|----------------------|-----------|---------------------------|-----------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | -replace steps | | 1450 | 44 | 6,000 | -0- | -0- | -0- | |
| 1. NY 16-1 | -install canopies | | 1450 | 30 | 50,000 | -0- | -0- | -0- | |
| | -community room renovations | | 1460 | 1 | 150,456 | 316,856 | 316,856 | 316,856 | Complete |
| | Renovations | | 1450 | 1 | -0- | 38,445 | 38,445 | 38,445 | Complete |
| | -roof replacement | | 1450 | 1 | 6,450 | 6,450 | 6,450 | 6,450 | Complete |
| | -asphalt | | 1450 | 5000sf | 10,000 | -0- | -0- | -0- | |
| | -Landscaping | | 1450 | | 10,000 | -0- | -0- | -0- | |
| | -Lead base paint abatement | | 1460 | 58 surf | 35,000 | 12,900 | 12,900 | 12,900 | Complete |
| | -back flow preventers | | 1460 | 9 | -0- | 19,600 | 19,600 | 19,600 | Complete |
| | Total Carlisle items | | | | \$267,906 | \$394,251 | \$394,251 | \$394,251 | |
| 2. NY16-2 | NORTH SHORE | | | | | | | | |
| | -pavillion | | 1450 | 1 | 6,500 | -0- | -0- | -0- | |
| | -canopies | | 1450 | 50 | 37,000 | -0- | -0- | -0- | |
| | -landscaping | | 1450 | 7500sf | 4,500 | 4,800 | 4,800 | 4,800 | Complete |
| | -clean storm drains, add catch basin | | 1450 | 700lin. Ft. | 7,000 | 8,163 | 8,163 | 8,163 | Complete |
| | -vehicle | | 1475 | 1 | 23,000 | 16,580 | 16,580 | 16,580 | Complete |
| | -tech center | | 1450 | 1 | 92,180 | 72,396 | 72,396 | 72,396 | Complete |
| | Total North Shore items | | | | 170,180 | 101,939 | 101,939 | 101,939 | Complete |
| 3. NY 16-5 | SARATOGA | | | | | | | | |
| | -replace steps | | 1450 | 20 | 25,000 | 11,490 | 11,490 | 11,490 | Complete |
| | -Chimney roof repair | | 1450 | 5 | 60,000 | 32,500 | 32,500 | 32,500 | Complete |

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

| PHA Name:Binghamton Housing Authority | | Grant Type and Number Capital Fund Program Grant No: NY06P01670798 Replacement Housing Factor Grant No: | | | | | Federal FY of Grant: 1998 | | |
|---|--|--|---------------|----------|----------------------|---------|----------------------------------|---------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | -Bilco crawlspace doors, bldg 7,8,15 | | 1450 | 19 | 28,000 | 29,806 | 29,806 | 29,806 | Coomplete |
| | -landscaping | | 1450 | 16,700 | 10,000 | -0- | -0- | -0- | |
| | -Lead base paint abatement | | 1460 | 25 | 15,000 | 6,100 | 6,100 | 6,100 | Complete |
| | Total SARATOGA ITEMS | | | | 138,000 | 79,896 | 79,896 | 79,896 | Complete |
| 4. PHA-Wide | A. security services, including off-duty police, night patrols, tenant patrols, techniques for dealing with drug related crime | | 1408 | 1 | 59,361 | 69,588 | 69,588 | 69,588 | Complete |
| | B. Provide for two resident initiative coordinators, 2 @ \$25,000 | | 1408 | 2 | 50,000 | 50,000 | 50,000 | 50,000 | Complete |
| | C. Staff & resident training | | 1408 | 1 | 25,000 | 25,000 | 25,000 | 25,000 | Complete |
| | D. Credit union/econ. Development | | 1408 | 1 | 30,000 | 30,000 | 30,000 | 30,000 | Complete |
| | E. Computer software | | 1408 | | 20,000 | 9,773 | 9,773 | 9,773 | Complete |
| | TOTAL MGMT IMPROVEMENTS | | | | 184,361 | 184,361 | 184,361 | 184,361 | Complete |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

[illegible]

Attachment I

CAPITAL FUND PROGRAM TABLES START HERE

| Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary | | | | | |
|---|---|---|---------|-------------------|---------------------------|
| PHA Name: Binghamton Housing Authority | | Grant Type and Number Capital Fund Program Grant No: NY06P01670899 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 1999 |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) xx <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2000 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | | | | |
| 3 | 1408 Management Improvements Soft Costs | 191,241 | 191,241 | 191,241 | 191,241 |
| | Management Improvements Hard Costs | | | | |
| 4 | 1410 Administration | 105,620 | 105,620 | 105,620 | 105,620 |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 105,620 | 105,620 | 105,620 | 105,620 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 25,000 | 6,975 | 6,975 | 6,975 |
| 10 | 1460 Dwelling Structures | 608,724 | 626,749 | 539,980 | 539,980 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Nondwelling Structures | | | | |
| 13 | 1475 Nondwelling Equipment | 20,000 | 20,000 | 20,000 | 20,000 |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |

| Annual Statement/Performance and Evaluation Report | | | | | |
|---|---|---|-----------|-------------------|------------------------------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary | | | | | |
| PHA Name: Binghamton Housing Authority | | Grant Type and Number Capital Fund Program Grant No: NY06P01670899 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 1999 |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) | | | | | |
| xx <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2000 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1502 Contingency | | | | |
| | Amount of Annual Grant: (sum of lines.....) | 1,056,205 | 1,056,205 | 969,436 | 969,436 |
| | Amount of line XX Related to LBP Activities | 50,000 | 22,400 | 22,400 | 22,400 |
| | Amount of line XX Related to Section 504 compliance | | | | |
| | Amount of line XX Related to Security –Soft Costs | 92,000 | 100,089 | 100,089 | 100,089 |
| | Amount of Line XX related to Security-- Hard Costs | | | | |
| | Amount of line XX Related to Energy Conservation Measures | | | | |
| | Collateralization Expenses or Debt Service | | | | |
| | | | | | |
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Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

| PHA Name :Binghamton Housing Authority | | Grant Type and Number Capital Fund Program Grant No: NY06P01670899 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 1999 | | | |
|---|--|---|---------------|----------|----------------------|------------------------------|-------------------|-----------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| NY 16-1 Carlisle | -community room renovations | | 1460 | 1 | \$366,724 | 161,691 | 161,691 | 161,691 | Complete |
| | -lead base paint abatement | | 1460 | 1 | 25,000 | -0- | -0- | -0- | |
| | -playground | | 1460 | 1 | 12,000 | -0- | -0- | -0- | |
| | TOTAL Carlisle items | | | | 404,224 | 161,691 | 161,691 | 161,691 | |
| NY 16-2 North Shore | -tech center renovations | | 1460 | 1 | 160,000 | -0- | -0- | -0- | |
| | -card reader system | | 1460 | 1 | 32,000 | 32,295 | 32,295 | 32,295 | Complete |
| | -door system overhead | | 1460 | 1 | -0--- | 27,110 | 27,110 | 27,110 | Complete |
| | -security/reception area | | 1460 | 1 | -0- | 8,089 | 8,089 | 8,089 | Complete |
| | -ventilation system | | 1460 | 1 | -0- | 13,790 | 13,790 | 13,790 | Complete |
| | -:Landscaping/fencing | | 1450 | 1 | -0- | 6,975 | 6,975 | 6,975 | Complete |
| | -drainage/plumbing | | 1460 | 1 | -0- | 3,500 | 3,500 | 3,500 | Complete |
| | -interior rehab-adult day care | | 1460 | 1 | -0- | 81,401 | -0- | -0- | |
| | Total North Shore items | | | | \$192,000 | \$173,160 | \$91,759 | \$91,759 | |
| NY 16-5 Saratoga | -lead base paint | | 1460 | 1 | 25,000 | 22,400 | 22,400 | 22,400 | |
| | -playground surface | | 1450 | 1 | 12,500 | -0- | -0- | -0- | |
| | -bathroom floor tile | | 1460 | 1 | -0- | 40,060 | 40,060 | 40,060 | |
| | -drainage/plumbing pavement replace. | | 1460 | 1 | -0- | 94,814 | 94,814 | 94,814 | |
| | -day care restorations com. Room | | 1460 | 1 | -0- | 141,599 | 136,231 | 136,231 | |
| | Total Saratoga items | | | | \$37,500 | \$298,873 | \$293,505 | \$293,505 | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name :Binghamton Housing Authority | | Grant Type and Number Capital Fund Program Grant No: NY06P01670899 Replacement Housing Factor Grant No: | | | | | Federal FY of Grant: 1999 | | |
|---|---|---|---------------|----------|----------------------|-----------|------------------------------|-----------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| 4. PHA Wide | A. Security services including off-duty police night patrols, tenant patrols, security equipment as needed and improving management techniques for dealing with drug related crime. | | 1408 | 1 | \$60,000 | \$60,000 | \$60,000 | \$60,000 | Complete |
| | B. Provide for two Resident Initiative Coordinators, 2 @ \$25,000 | | 1408 | 1 | \$50,000 | \$50,000 | \$50,000 | \$50,000 | Complete |
| | C. Staff & Resident training | | 1408 | | \$15,000 | \$15,000 | \$15,000 | \$15,000 | Complete |
| | D. Credit union/econ. Development | | 1408 | 1 | \$30,000 | \$30,000 | \$30,000 | \$30,000 | Complete |
| | E. Computer system software | | 1408 | 1 | \$3,000 | \$3,000 | \$3,000 | \$3,000 | Complete |
| | F. Programs, Roberson, Urban League Partners in the Park, Drug Elimination programs not funded under the 1999 PHDEP | | 1408 | | \$33,241 | \$33,241 | \$33,241 | \$33,241 | Complete |
| | TOTAL MGMT IMPROVEMENTS | | | | \$191,241 | \$191,241 | \$191,241 | \$191,241 | |
| PHA Wide | A. Salary of Contract Admin, 85%of Mod. (Maint), Admin., 30% of Exec. Dir, 25% of TRA | | 1410.1 | | \$79,753 | \$79,753 | \$79,753 | \$79,753 | |
| | B. Fringe benefits 32.4% of above costs | | 1410.9 | | \$25,867 | \$25,867 | \$25,867 | \$25,867 | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name :Binghamton Housing Authority

Grant Type and Number
Capital Fund Program Grant No: NY06P01670899
Replacement Housing Factor Grant No:

Federal FY of Grant:
1999

| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
|---|--|--|---------------|----------|----------------------|--|-------------------|--|----------------|
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Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| | | |
|--|--|-------------------------------------|
| PHA Name :Binghamton Housing Authority | Grant Type and Number Capital Fund Program Grant No: NY06P01670899 Replacement Housing Factor Grant No: | Federal FY of Grant: 1999 |
|--|--|-------------------------------------|

| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
|---|--|--|---------------|----------|----------------------|--|-------------------|--|----------------|
|---|--|--|---------------|----------|----------------------|--|-------------------|--|----------------|

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| Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule | | | | | | | |
| PHA Name: Binghamton Housing Authority | | | Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: | | | | Federal FY of Grant: 1999 |
| Development Number Name/HA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
| | Original | Revised | Actual | Original | Revised | Actual | |
| NY 16-1 Carlisle | 3/31/2001 | | | 3/31/2002 | | | |
| | | | | | | | |
| NY 16-2 North Shore | 3/31/2001 | | | 3/31/2002 | | | |
| | | | | | | | |
| NY 16-5 Saratoga | 3/31/2001 | | | 3/31/2002 | | | |
| | | | | | | | |
| BHA Authority Wide | 3/31/2001 | | | 3/31/2002 | | | |
| | | | | | | | |
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Attachment I

CAPITAL FUND PROGRAM TABLES START HERE

| Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary | | | | | |
|---|---|--|---------|-------------------|-------------------------------------|
| PHA Name: Binghamton Housing Authority | | Grant Type and Number Capital Fund Program Grant No: NY06P01650100 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 2000 |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | | | | |
| 3 | 1408 Management Improvements Soft Costs | 201,241 | 201,241 | 88,159 | 88,159 |
| | Management Improvements Hard Costs | | | | |
| 4 | 1410 Administration | 105,620 | 105,620 | 62,790 | 62,790125,620 |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 125,620 | 125,620 | 27,424 | 22,648 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 110,000 | 75,512 | 25,512 | 22,961 |
| 10 | 1460 Dwelling Structures | 569,399 | 603,887 | 174,762 | 166,585 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Nondwelling Structures | | | | |

| Annual Statement/Performance and Evaluation Report | | | | | |
|--|---|---|-----------|-------------------|------------------------------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary | | | | | |
| PHA Name: Binghamton Housing Authority | | Grant Type and Number Capital Fund Program Grant No: NY06P01650100 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 2000 |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| 13 | 1475 Nondwelling Equipment | 35,000 | 35,000 | 25,180 | 25,180 |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1502 Contingency | | | | |
| | Amount of Annual Grant: (sum of lines.....) | 1,146,880 | 1,146,880 | 403,827 | 388,323 |
| | Amount of line XX Related to LBP Activities | 25,000 | 25,000 | 0 | 0 |
| | Amount of line XX Related to Section 504 compliance | | | | |
| | Amount of line XX Related to Security –Soft Costs | 60,000 | 60,000 | 60,000 | 60,000 |
| | Amount of Line XX related to Security-- Hard Costs | | | | |
| | Amount of line XX Related to Energy Conservation Measures | | | | |
| | Collateralization Expenses or Debt Service | | | | |
| | | | | | |

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

| PHA Name:Binghamton Housing Authority | | Grant Type and Number Capital Fund Program Grant No: NY06P01650100 Replacement Housing Factor Grant No: | | | | | Federal FY of Grant: 2000 | | |
|---|--|---|---------------|----------|----------------------|---------|------------------------------|---------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| NY16-1 Carlisle | Community Center | | 1460 | 1 | 187,737 | 363,845 | 0 | 0 | |
| | Lead Based Paint | | 1460 | 1 | 12,500 | 12,500 | 0 | 0 | |
| | Playground Surface | | 1450 | 1 | 25,000 | 0 | 0 | 0 | |
| | TOTAL CARLISLE ITEMS | | | | 225,237 | 376,345 | 0 | 0 | |
| | | | | | | | | | |
| NY 16-2 North Shore | Sidewalks/Steps | | 1450 | 1 | 15,000 | 25,512 | 25,512 | 22,961 | In process |
| | TOTAL NORTH SHORE ITEMS | | | | 15,000 | 25,512 | 25,512 | 22,961 | |
| | | | | | | | | | |
| NY16-5 Saratoga | Day Care Renovations | | 1460 | 1 | 316,382 | 174,762 | 174,762 | 166,585 | In process |
| | Maintenance Area Renovations | | 1460 | 1 | 40,280 | 40,280 | 0 | 0 | |
| | Landscaping | | 1450 | 1 | 20,000 | 40,000 | 0 | 0 | |
| | Patio/Step repair | | 1450 | 1 | 10,000 | 10,000 | 0 | 0 | |
| | Playground Repair/Surface Area | | 1450 | 1 | 40,000 | 0 | 0 | 0 | |
| | Lead Based Paint | | 1460 | 1 | 12,500 | 12,500 | 0 | 0 | |
| | TOTAL SARATOGA ITEMS | | | | 439,162 | 277,542 | 174,762 | 166,585 | |
| | | | | | | | | | |
| PHA Authority Wide | Fees & Costs | | 1430 | | 125,620 | 125,620 | 27,424 | 22,648 | |
| | TOTAL FEES & COSTS | | | | 125,620 | 125,620 | 27,424 | 22,648 | |
| PHA-wide management improvements | Nondwelling equipment | | 1475 | | 35,000 | 35,000 | 25,180 | 25,180 | |
| | Administrative Costs: Salary of Contract Admin, 85% of Mod (Maint), 30% of Exec. Dir. 25% of TRA | | 1410 | | 35,000 | 35,000 | 25,180 | 25,180 | |
| | A. Security services including off-duty police night patrols, | | 1408 | | 105,620 | 105,620 | 62,790 | 62,790 | |
| | B. Provide for two Resident Initiative Coordinators | | 1408 | | 60,000 | 60,000 | 60,000 | 60,000 | |
| | C. Staff and Resident training | | 1408 | | 50,000 | 50,000 | 3,444 | 3,444 | |
| | D. Computer System Hardware | | 1408 | | 18,000 | 18,000 | 8,973 | 8,973 | |
| | E. Credit Union/Econ.Dev. | | 1408 | | 2,000 | 2,000 | -0- | -0- | |
| | F. Programs | | 1408 | | 30,000 | 30,000 | 6,518 | 6,518 | |
| | | | | | 41,241 | 41,241 | 9224 | 9224 | |
| Capital Fund Program Tables Page 91 | | | | | | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

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